



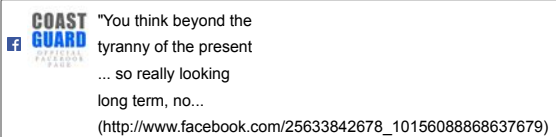
(http://www.uscg.mil/) (HTTP://WWW.DCO.USCG.MIL/)

# UNITED STATES COAST GUARD

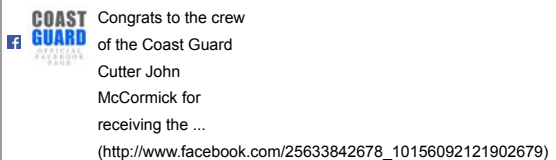


<a href="#">NVDC HOME (/OURORGANIZATION/ASSISTANTCOMMANDANTFORPREVENTIONPOLICY(CG-5P)/INSPECTIONS COMPLIANCE(CG-5PC)/NATIONAL VESSEL DOCUMENTATION CENTER)</a>
<a href="#">NEWS</a>
<a href="#">DETERMINATION LETTERS</a>
<a href="#">INVESTIGATION REPORTS (/OURORGANIZATION/ASSISTANTCOMMANDANTFORPREVENTIONPOLICY(CG-5P)/INSPECTIONS COMPLIANCE(CG-5PC)/NATIONAL VESSEL DOCUMENTATION CENTER)</a>
<a href="#">PAPERWORK STATUS INQUIRY (/OURORGANIZATION/ASSISTANTCOMMANDANTFORPREVENTIONPOLICY(CG-5P)/INSPECTIONS COMPLIANCE(CG-5PC)/NATIONAL VESSEL DOCUMENTATION CENTER)</a>
<a href="#">INSTRUCTIONS AND FORMS (/OURORGANIZATION/ASSISTANTCOMMANDANTFORPREVENTIONPOLICY(CG-5P)/INSPECTIONS COMPLIANCE(CG-5PC)/NATIONAL VESSEL DOCUMENTATION CENTER)</a>
<a href="#">FAQ (/OURORGANIZATION/ASSISTANTCOMMANDANTFORPREVENTIONPOLICY(CG-5P)/INSPECTIONS COMPLIANCE(CG-5PC)/NATIONAL VESSEL DOCUMENTATION CENTER)</a>
<a href="#">FEE SCHEDULE (/PORTALS/9/DCO DOCUMENTS/NVDC/FEE_SCHEDULE.PDF)</a>
<a href="#">ORDER PRODUCTS ONLINE (HTTPS://WWW.PAY.GOV/PUBLIC/SEARCH/GLOBAL?SEARCHSTRING=NVDC&amp;FORMTOKEN=49196A83-1277-423A-A06A-A55C1F970AEE)</a>
<a href="#">RENEWAL (HTTPS://WWW.PAY.GOV/PUBLIC/SEARCH/GLOBAL?SEARCHSTRING=NVDC&amp;FORMTOKEN=49196A83-1277-423A-A06A-A55C1F970AEE)</a>
<a href="#">LATE RENEWAL (HTTPS://WWW.PAY.GOV/PUBLIC/SEARCH/GLOBAL?SEARCHSTRING=NVDC&amp;FORMTOKEN=49196A83-1277-423A-A06A-A55C1F970AEE)</a>
<a href="#">ABSTRACT OF TITLE (HTTPS://WWW.PAY.GOV/PUBLIC/SEARCH/GLOBAL?SEARCHSTRING=NVDC&amp;FORMTOKEN=49196A83-1277-423A-A06A-A55C1F970AEE)</a>
<a href="#">CERTIFIED COPY OF COD (HTTPS://WWW.PAY.GOV/PUBLIC/SEARCH/GLOBAL?SEARCHSTRING=NVDC&amp;FORMTOKEN=49196A83-1277-423A-A06A-A55C1F970AEE)</a>
<a href="#">OTHER LINKS (/OURORGANIZATION/ASSISTANTCOMMANDANTFORPREVENTIONPOLICY(CG-5P)/INSPECTIONS COMPLIANCE(CG-5PC)/NATIONAL VESSEL DOCUMENTATION CENTER)</a>
<a href="#">SUBSCRIBE TO NVDC INFORMATION (HTTPS://PUBLIC.GOVDELIVERY.COM/ACCOUNTS/USDHSCG/SUBSCRIBER/NEW?QSP=USDHSCG_4)</a>
<a href="#">CONTACT US</a>


## Social Media




---




---



722,758  
LIKE US → (http://www.facebook.com/UScoastguard)

(http://www.facebook.com/UScoastguard)

5,575  
FOLLOW US → (https://twitter.com/intent/follow?screen\_name=USCGDirectory)

(http://www.twitter.com/USCGDirectory)

(https://twitter.com/intent/follow?screen\_name=USCGDirectory)

## National Vessel Documentation Center

### Frequently Asked Questions:

- What is Vessel Documentation?
- What vessels may be documented?
- Must my Vessel be Documented?
- How do I know if my vessel measures five net tons?
- What vessels are exempt?
- Are there different types of documentation?
- What are the requirements for documentation?
- How is vessel ownership established?
- How do I establish U.S. Citizenship?
- Why and how is build evidence established?
- What are the vessel name and hailing port marking requirements?
- How do I mark my vessel?

Exhibit F  
Page 1 of 6

- How do I mark my vessel?
- How do I change the name or hailing port of my vessel?
- Why does the Coast Guard require designation of a managing owner?
- What is a preferred mortgage?
- Where can I get forms for documentation?
- Must I submit my application by mail?
- How do I obtain title information for a documented vessel?
- Is a documented vessel exempt from state jurisdiction?
- Is the vessel tender documented?
- What happens when I sell my documented vessel?
- How long is the certificate of documentation valid?
- May I renew a lost certificate of documentation?
- Should I return the certificate of documentation with the renewal notice?
- May I renew my document early?
- How do I notify you of my change of address?
- May I renew the certificate after the ownership changes?
- How do I delete a vessel from documentation?
- How do I request priority handling?
- Where may I obtain information on documented vessels?
- How do I get a coastwise waiver for a small passenger vessel?
- May I receive faxed copies of completed products prior to mailing?
- How do I get vessel documentation regulations?

#### WHAT IS VESSEL DOCUMENTATION?

Vessel documentation is a national form of registration. It is one of the oldest functions of Government, dating back to the 11th Act of the First Congress. Documentation provides conclusive evidence of nationality for international purposes, provides for unhindered commerce between the states, and admits vessels to certain restricted trades, such as coastwise trade and the fisheries. Since 1920, vessel financing has been enhanced through the availability of preferred mortgages on documented vessels.

**For more information, visit** [Brochure\\_Documentation\\_and\\_Tonnage.pdf](#)

(/Portals/9/DCO%20Documents/Marine%20Safety%20Center/Tonnage/Brochure\_Documentation\_and\_Tonnage.pdf?ver=2017-06-09-130322-740).

[Top of Page](#)

#### WHAT VESSELS MAY BE DOCUMENTED?

A vessel must measure at least five net tons and, with the exception of certain oil spill response vessels, must be wholly owned by a citizen of the U.S.

[Top of Page](#)

#### MUST MY VESSEL BE DOCUMENTED?

Vessels of five net tons or more used in fishing activities on navigable waters of the U.S. or in the Exclusive Economic Zone (EEZ), or used in coastwise trade must be documented unless the vessel is exempt from documentation. Coastwise trade is generally defined as the transportation of merchandise or passengers between points in the U.S. or the EEZ. In addition, towboats operating between points in the U.S. or the EEZ or between the EEZ and points in the U.S. and dredges operating in the U.S. or the EEZ must be documented.

[Top of Page](#)

#### HOW DO I KNOW IF MY VESSEL MEASURES FIVE NET TONS?

Net tonnage is a measure of a vessel's useful capacity, expressed as a volume (gross tonnage minus deductible spaces). It should not be confused with the vessel's weight or total cargo carrying capacity, which may also be expressed in tons. Vessels of more than 25 feet are likely to measure five net tons or more under the Simplified Measurement System, although, they may measure less than five net tons if formally measured. For information about how tonnages are determined, including the Adobe Acrobat simplified measurement form that calculates tonnages, visit the U.S. Coast Guard Marine Safety Center's web site at the **Marine Safety Center's Tonnage Page** ([/Our-Organization/Assistant-Commandant-for-Prevention-Policy-CG-5P/Commercial-Regulations-standards-CG-5PS/Marine-Safety-Center-MSC/Tonnage-Division/](#)).

[Top of Page](#)

#### WHAT VESSELS ARE EXEMPT?

Vessels that do not operate on the navigable waters of the U.S. or in the fisheries in the EEZ, are exempt from the requirement to be documented. Also exempt are Coastwise qualified, non-self-propelled vessels used in coastwise trade within a harbor, on the rivers or lakes (except the Great Lakes) of the U.S. or the internal waters or canal of any state.

[Top of Page](#)

#### ARE THERE DIFFERENT TYPES OF DOCUMENTATION?

Yes. A Certificate of Documentation may be endorsed for fishery, coastwise, registry, or recreation. Any documented vessel may be used for recreational purposes, regardless of its endorsement, but a vessel documented with a recreational endorsement only may not be used for any other purpose.

Case 1:16-cv-00008-HRH Document 118-10 Filed 02/09/18 Page 2 of 6

Registry endorsements are generally used for foreign trade.

[Top of Page](#)

#### WHAT ARE THE REQUIREMENTS FOR DOCUMENTATION?

The basic requirements for documentation are to demonstrate ownership of the vessel, U.S. citizenship, and eligibility for the endorsement sought.

[Top of Page](#)

#### HOW IS VESSEL OWNERSHIP ESTABLISHED?

If the vessel is new and has never been documented, ownership may be established by submission of a Builder's Certification (Form CG-1261), naming the applicant for documentation as the person for whom the vessel was built or to whom the vessel was first transferred. Also acceptable are a transfer on a Manufacturer's Certificate of Origin, a copy of the State Registration or Title, or foreign registration showing that the applicant owns the vessel.

In the case of a previously owned vessel, the applicant must present bills of sale, or other evidence showing transfer of the vessel from the person who last documented, titled, or registered the vessel, or to whom the vessel was transferred on a Builder's Certification or Manufacturer's Certificate of Origin. If title was transferred by some means other than a bill of sale, contact the NVDC for assistance.

[Top of Page](#)

#### HOW DO I ESTABLISH U.S. CITIZENSHIP?

Citizenship is established by completion of form CG-1258. In addition to individuals, corporations, partnerships, and other entities capable of holding legal title may be deemed citizens for documentation purposes. Corporations must be registered in a state or the U.S.; the chief executive officer and chairman of the board of directors must be U.S. citizens, and no more than a minority of the number of directors necessary to constitute a quorum may be non-citizens. In addition, at least 75% of the stock must be vested in U.S. citizens for a coastwise or fisheries endorsement.

[Top of Page](#)

#### WHY AND HOW IS BUILD EVIDENCE ESTABLISHED?

Evidence that a vessel was built in the U.S. is required for a vessel which is to be used in the fisheries or coastwise trade. Build evidence is normally established by submitting a Builder's Certification on form CG-1261. That form must be completed by the person who constructed or oversaw the construction of the vessel or an official of the company that built the vessel who has examined the records of the company to determine the facts of build. **The Original Builder's Certification or Facts of Build Letter must be presented with your submission. A copy will NOT be accepted.**

[Top of Page](#)

#### WHAT ARE THE VESSEL NAME AND HAILING PORT MARKING REQUIREMENTS?

Documented vessels do not display their official numbers on the outside of the hull, but are identified by the name and hailing port. The application for documentation must include a name for the vessel composed of letters of the Latin alphabet or Arabic or Roman numerals and may not exceed 33 characters. The name may not be identical, actually or phonetically, to any word or words used to solicit assistance at sea; may not contain or be phonetically identical to obscene, indecent, or profane language, or to racial or ethnic epithets. Once established, a vessel's name may not be changed without application, fees, and the consent of the Director, National Vessel Documentation Center. There is no rule against duplication of names for documented vessels, so hailing ports are helpful in identifying vessels.

[Top of Page](#)

#### HOW DO I MARK MY VESSEL?

The official number assigned to documented vessels, preceded by the abbreviation "NO." must be marked in block-type Arabic numerals at least three inches high on some clearly visible interior structural part of the hull. The number must be permanently affixed so that alteration, removal, or replacement would be obvious and cause some scarring or damage to the surrounding hull area.

The name and hailing port of a recreational vessel must be marked together on some clearly visible exterior part of the hull. The vessel name of a commercial vessel must also be marked on the port and starboard bow and the vessel name and the hailing port must also be marked on the stern. All markings may be made by any means and materials that result in durable markings and must be at least four inches in height, made in clearly legible letters of the Latin alphabet or Arabic or Roman numerals. The "hailing port" must include both a place and a State, Territory, or possession of in the United States. The state may be abbreviated.

[Top of Page](#)

#### HOW DO I CHANGE THE NAME OR HAILING PORT OF MY VESSEL?

The name and/or hailing port may be changed by filing an application for change on form CG-1258 with the appropriate fees. If your vessel is subject to a mortgage of record, you must obtain permission from the mortgagee on form CG-4593.

[Top of Page](#)

#### WHY DOES THE COAST GUARD REQUIRE DESIGNATION OF A MANAGING OWNER?

Many vessels have more than one owner. To make sure that the right person gets mail concerning the vessel, one must be designated as the managing owner.

[Top of Page](#)

Exhibit F  
Page 3 of 6

#### WHAT IS A PREFERRED MORTGAGE?

A preferred mortgage is a mortgage which is given status as a maritime lien. As such it enjoys a certain priority in the event of default. In addition, the Coast Guard is prohibited from making certain changes in documentation including, but not limited to, change of vessel ownership, name, and hailing port without consent of the mortgagee. For this reason many financial institutions require vessels which are eligible for documentation to be documented and to have preferred mortgages recorded against them.

[Top of Page](#)

#### WHERE CAN I GET FORMS FOR DOCUMENTATION?

Documentation forms may be downloaded from the world wide web at [Forms Home Page \(https://dco.uscg.afpims.mil/Our-Organization/Assistant-Commandant-for-Prevention-Policy-CG-5P/Inspections-Compliance-CG-5PC-/National-Vessel-Documentation-Center/National-Vessel-Documentation-Center-Instructions-and-Forms/\)](https://dco.uscg.afpims.mil/Our-Organization/Assistant-Commandant-for-Prevention-Policy-CG-5P/Inspections-Compliance-CG-5PC-/National-Vessel-Documentation-Center/National-Vessel-Documentation-Center-Instructions-and-Forms/), by telephoning the NVDC, pressing 5 on the first menu and following the instructions for the autofax system or leaving an address to which the forms may be mailed.

[Top of Page](#)

#### MUST I SUBMIT MY APPLICATION BY MAIL?

In most instances you may submit your application by fax or as a .pdf attachment to e-mail, provided you pay your fees with a major credit card. This includes applications accompanied by bills of sale, mortgages, and satisfactions of mortgage. Among the exceptions are cases where an original is required, such as a builder's certification, a certified copy of a court order, certificate of merger or similar instrument is required.

[Top of Page](#)

#### HOW DO I OBTAIN TITLE INFORMATION FOR A DOCUMENTED VESSEL?

You may obtain an Abstract of Title which will show all bills of sale, mortgages, and notices of claim of lien filed and recorded by the Coast Guard. You may request the Abstract by fax if you pay by credit card or may mail your request with the appropriate fee to the NVDC. To get accurate information you must supply the name and official number of the vessel.

[Top of Page](#)

#### IS A DOCUMENTED VESSEL EXEMPT FROM STATE JURISDICTION?

No, all documented vessels must comply with the laws of the state in which they are operated. The vessel's document must be shown to state law enforcement personnel upon their demand. States may require documented vessels to be registered (but not numbered) and to display state decals showing that they have complied with state requirements.

[Top of Page](#)

#### IS THE VESSEL TENDER DOCUMENTED?

Documentation of your vessel does not cover the vessel's tender or dinghy. These craft fall within the jurisdiction of the motorboat numbering laws of the state of principal use. Please contact your state agency that handles the registration or numbering of motorboats for further information

[Top of Page](#)

#### WHAT HAPPENS WHEN I SELL MY DOCUMENTED VESSEL?

When the sale is finalized you may complete a U.S. Coast Guard Bill of Sale (CG-1340) or complete the "Sale or Transfer of Vessel" section on the reverse of the Certificate of Documentation (CG-1270). Documentation forms, fee schedules, and instructions are available for downloading on this web site. If there is an outstanding mortgage, the mortgagee ( lender) should complete a Satisfaction of Mortgage. The vessel cannot be removed from documentation with an outstanding mortgage.

[Top of Page](#)

#### HOW LONG IS THE CERTIFICATE OF DOCUMENTATION VALID?

A Certificate of Documentation is valid for one year from the date of issue, providing there are NO CHANGES other than a change of owner's address. The Certificate must be renewed on an annual basis. Even though it is up to the owner to assure the document does not expire, the Coast Guard will send a Notice of Renewal to the managing owner approximately 45 days prior to expiration. See the instruction letter Renewal of Certificate of Documentation elsewhere at this site regarding renewal procedures.

[Top of Page](#)

#### MAY I RENEW A LOST CERTIFICATE OF DOCUMENTATION?

NO. An Application for Replacement (CG-1258) must be filed along with a \$50.00 fee. If the lost document has already expired it must be exchanged. The fee would then be \$84.00 plus applicable endorsement fees.

[Top of Page](#)

#### SHOULD I RETURN THE CERTIFICATE OF DOCUMENTATION WITH THE RENEWAL NOTICE?

NO, simply sign, date and return the Renewal Notice even if your address has changed. Please note the address change. You will receive a new certificate that shows your new address.

[Top of Page](#)

#### MAY I RENEW MY DOCUMENT EARLY?

YES. The early renewal process is available to those who wish to renew their certificate 30 days prior to the expiration date. Those who have the

Under the early renewal process, established in 1998 as a courtesy to owners unable to respond to renewal notifications during the calculated expiration month, there is an option to renew early. Due to automated processing, submissions received more than 60 days prior to expiration will be issued with a new issue/expiration date, changing the vessel's month of expiration and shortening the validity period of the current document.

Certificates renewed less than 60 days from expiration will maintain the same expiration month.

Application fees are not pro-rated and are non-refundable.

[Top of Page](#)

#### HOW DO I NOTIFY YOU OF MY CHANGE OF ADDRESS?

Notify NVDC of any change by using one of the following methods:

1. E-mail your request to: [NVDCRENEWALS2@USCG.MIL](mailto:NVDCRENEWALS2@USCG.MIL) (mailto:NVDCRENEWALS2@USCG.MIL);
2. Fax your request to: (304) 271-2541;
3. Annotate change of address on the CG-1280 Certificate of Documentation renewal notice (at the time of renewal) before returning it via fax or mail;

Include your Official Number, both the old and new address, and contact information (your name and phone number). Third party requests for address changes cannot be honored.

[Top of Page](#)

#### MAY I RENEW THE CERTIFICATE AFTER THE OWNERSHIP CHANGES?

NO. Ownership changes require that the Certificate be exchanged and accompanied by an Application (CG-1258), fees and other submissions to support the changes.

[Top of Page](#)

#### HOW DO I DELETE A VESSEL FROM DOCUMENTATION?

A vessel may be deleted from documentation upon the request of the vessel owner or an authorized agent on behalf of the owner. The request must be in writing and is to include the vessel's official number. The original Certificate of Documentation (COD) is to be returned by the owner named on the certificate.

[Top of Page](#)

#### HOW DO I REQUEST PRIORITY HANDLING?

See Instructions Page on our website for details.

[Top of Page](#)

#### WHERE MAY I OBTAIN INFORMATION ON DOCUMENTED VESSELS?

The "Merchant Vessels of the United States" (CG 408) lists the names of U.S. merchant and recreational vessels documented under the laws of the United States. It provides vessel dimensions, tonnage, build (if available), and ownership information. The last printed version was in 1994. This information is now available in an electronic format at: United States Coast Guard Homeport (<https://homeport.uscg.mil/missions/investigations/marine-casualty-pollution-investigations>), search for "Merchant Vessels of the United States" and choose the desired format.

[Top of Page](#)

#### HOW DO I GET A COASTWISE WAIVER FOR A SMALL PASSENGER VESSEL?

The Maritime Administration grants small passenger vessel waivers for operation in the coastwise trade. For further information, please go to [Maritime Administration Small Vessel Waiver Program](https://www.marad.dot.gov/ships-and-shipping/domestic-shipping/small-vessel-waiver-program/) (<https://www.marad.dot.gov/ships-and-shipping/domestic-shipping/small-vessel-waiver-program/>) or contact Linda Williams at (202) 366-0903.

[Top of Page](#)

#### MAY I RECEIVE FAXED COPIES OF COMPLETED PRODUCTS PRIOR TO MAILING?

Requests for faxing of Certificates of Documentation, Letters of Deletion, Certificates of Ownership and recorded instruments such as Preferred Mortgages, Bills of Sale, Notices of Claim of Lien, and Satisfactions of Mortgage in combination to mailing will require an additional Certified Copy fee of \$4.00 for each item.

[Top of Page](#)

#### HOW DO I GET VESSEL DOCUMENTATION REGULATIONS?

Printed copies of all the regulations may be obtained via the internet from the U.S. government bookstore at: <https://bookstore.gpo.gov> (<https://bookstore.gpo.gov/>) or by calling (202) 512-1800 between 7:30 am and 4:30 pm EST or fax at (202) 512-2250. You may also receive printed copies by writing to:

Superintendent of Documents  
P.O. Box 371954  
Pittsburgh, PA 15250-7954

Title 46 CFR part 67 (Vessel Documentation regulations) may be found in text and PDF formats on the web at: [http://www.access.gpo.gov/nara/cfr/waisidx\\_01/46cfr67\\_01.html](http://www.access.gpo.gov/nara/cfr/waisidx_01/46cfr67_01.html) (<https://www.gpo.gov/fdsys/pkg/CFR-2001-title46-vol1/content-detail.html>).

[Top of Page](#)

Exhibit F  
Page 5 of 6

The information provided in this pamphlet is for general guidance only and is not an exhaustive treatment of vessel documentation requirements. For specific questions, please contact the National Vessel Documentation Center.

Although most transactions require submission of processing fees, specific fee information is not provided in this pamphlet because fees are subject to change.

Thank you for visiting the NVDC.



STAY CONNECTED



FOIA (http://www.dcms.uscg.mil/Our-Organization/Assistant-Commandant-for-C4IT-CG-6-The-Office-of-Information-Management-CG-61/FOIA-Library/) | Privacy Policy (http://www.overview.uscg.mil/Disclaimer/) | MHS Notice of Privacy Practices (http://www.overview.uscg.mil/hswlsc/) | Accessibility (http://www.overview.uscg.mil/access/) | No Fear (http://www.overview.uscg.mil/no\_fear/) | Whistleblower Protection (http://www.overview.uscg.mil/MilitaryWhistlerBlowerProtectionAct/) | U.S. Department of Homeland Security (https://www.dhs.gov/) | DHS Office of Inspector General (https://www.oig.dhs.gov/) | WhiteHouse.gov (https://www.whitehouse.gov/) | USA.gov (https://www.usa.gov/)